



Max Planck Institute
for Innovation and Competition

**PATENTSEMTECH
2024**

Automated Patent Landscaping

Sebastian Erhardt

SIGIR PatSemTech Workshop - July 18, 2024 - Washington D.C.

Patent Landscaping

Patent Landscaping is a **valuable instrument for many stakeholders:**

- Patent Examiners
- Company Decision-Makers
- Researchers
- Policymakers
- ...

They use this method to ...

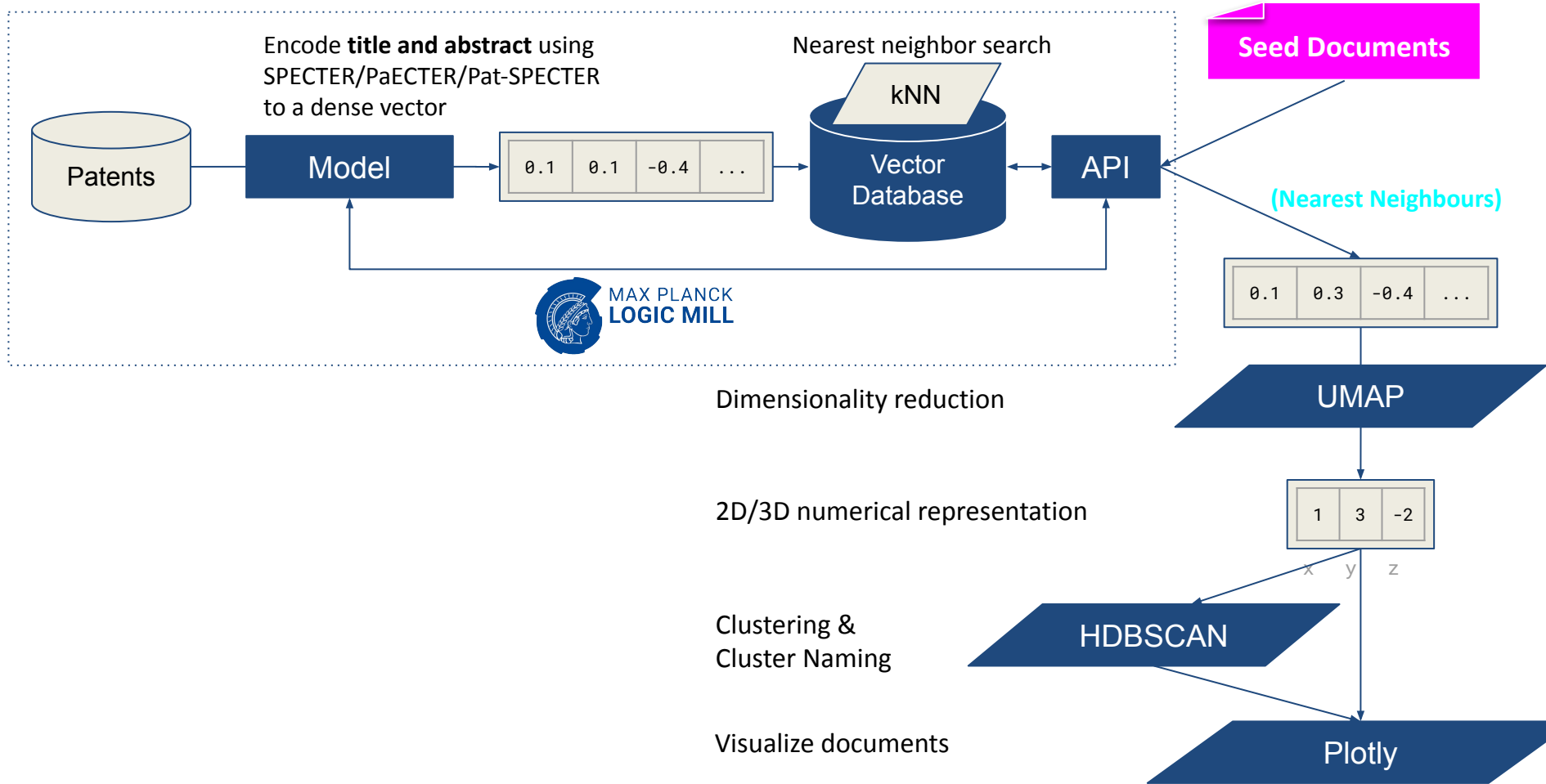
- Analyze the state-of-the-art
- Compare organizations' patenting activities
- Assess entire industries
- Identify gaps in internal / external R&D activities
- ...

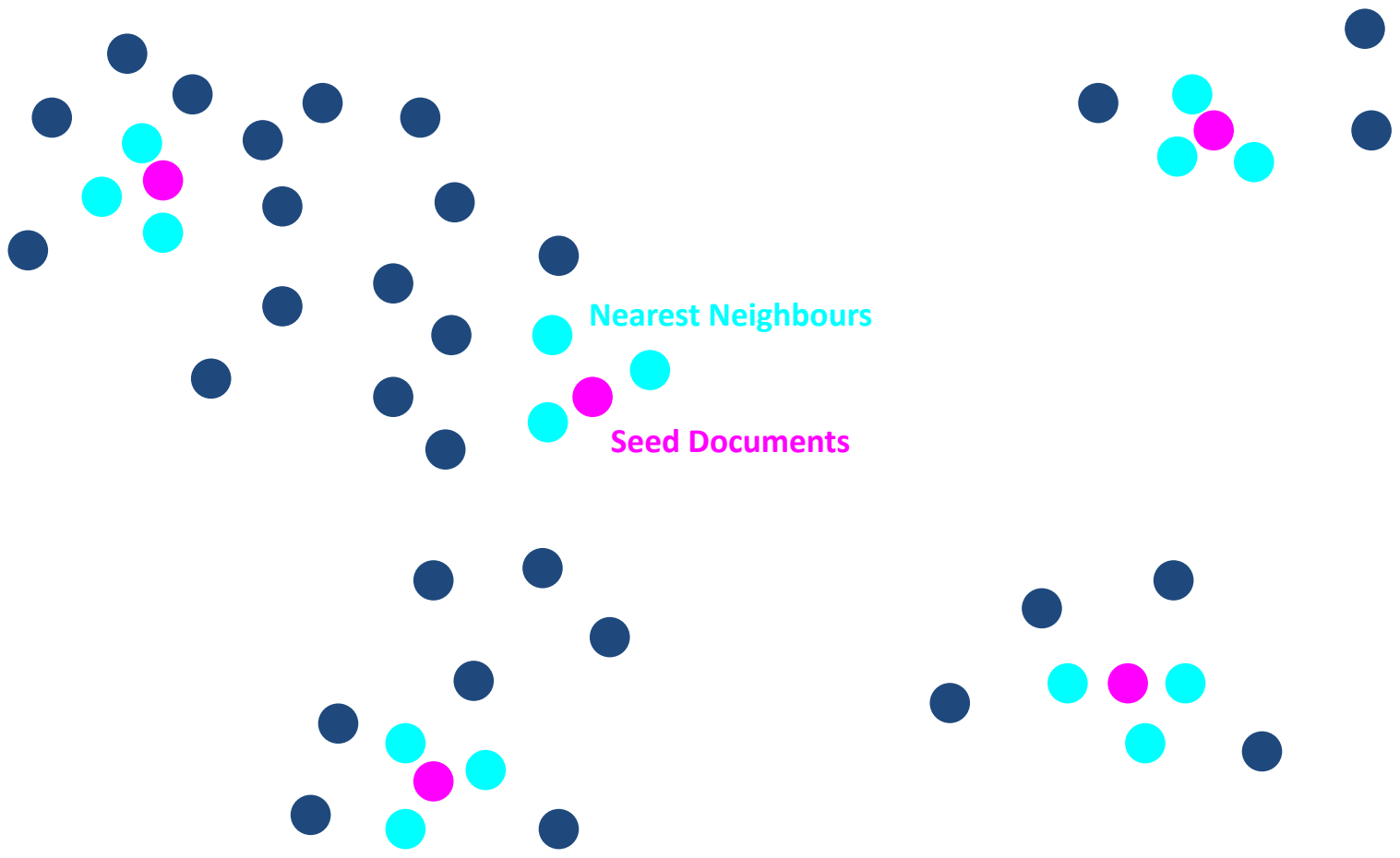


Motivation - Automatic Patent Portfolio Analysis

- Traditional patent portfolio analysis:
 - is a very **complex process** → requires **assessment by experts**
 - is done **manually** → **time-consuming** endeavor
- Current automated approaches are often limited to:
 - Comparing metadata (CPC, Citations, ...)
 - Comparing extracted keywords
 - Word Embeddings Abood et al. (2018), Skripnikova et al. (2021)
 - Patents only
- Still difficult to evaluate, compare, and **explore the results**







mRNA - Use-Case

Objective:

- Generate a portfolio analysis of mRNA organizations
- Generate an mRNA patent landscape

Initial-Data:

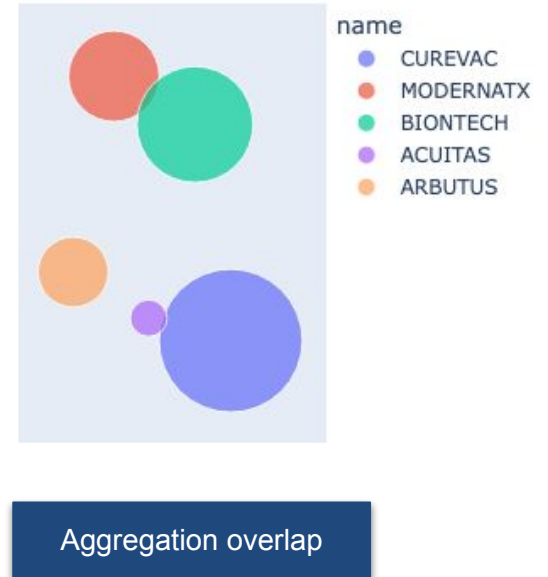
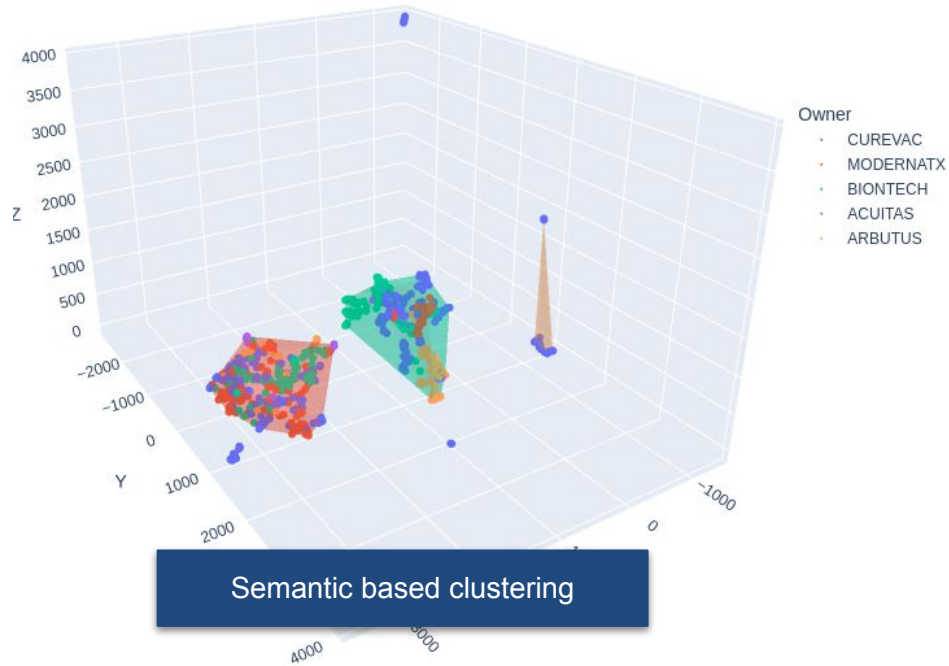
- Patent documents of **BioNTech, CureVac, Moderna, Acuitas** and **Arbutus**



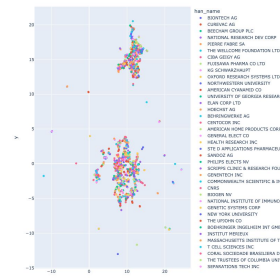
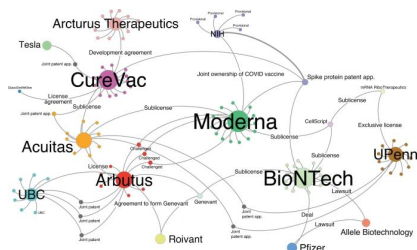
mRNA - Use-Case



mRNA - Use-Case



mRNA - Comparison



A network analysis of COVID-19 mRNA vaccine patents - 2021

Mario Gaviria & Burcu Kilic

The global mRNA vaccine patent landscape - 2022

Mengyao Li, Jianxiong Ren, Xingyong Si, Zhaocai Sun, Pingping Wang, Xiaoming Zhang, Kunmeng Liu & Benzheng Wei

Comparison:

- Substantial overlap of the identified organizations

Shortcoming:

- Some organizations could not be identified (could be because the focus was only on EPO)



Quantum - Use-Case

Objective:

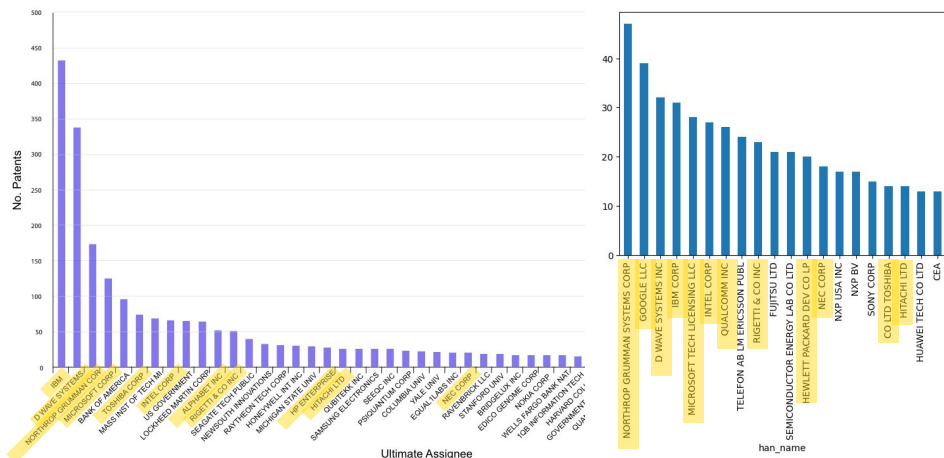
- Identify competitors of a quantum computing company

Initial-Data:

- Patent documents of **Rigetti**



Quantum - Use-Case



Overlap:

- Substantial overlap of the identified organizations

Shortcoming:

- Some organizations could not be identified
(could be because the focus was only on EPO)

Mapping the Patent Landscape
of Quantum Technologies:
Patenting Trends, Innovation
and Policy Implications - 2022

Mateo Aboy, Timo Minssen &
Mauritz Kop



Big Corp (Siemens) - Use-Case

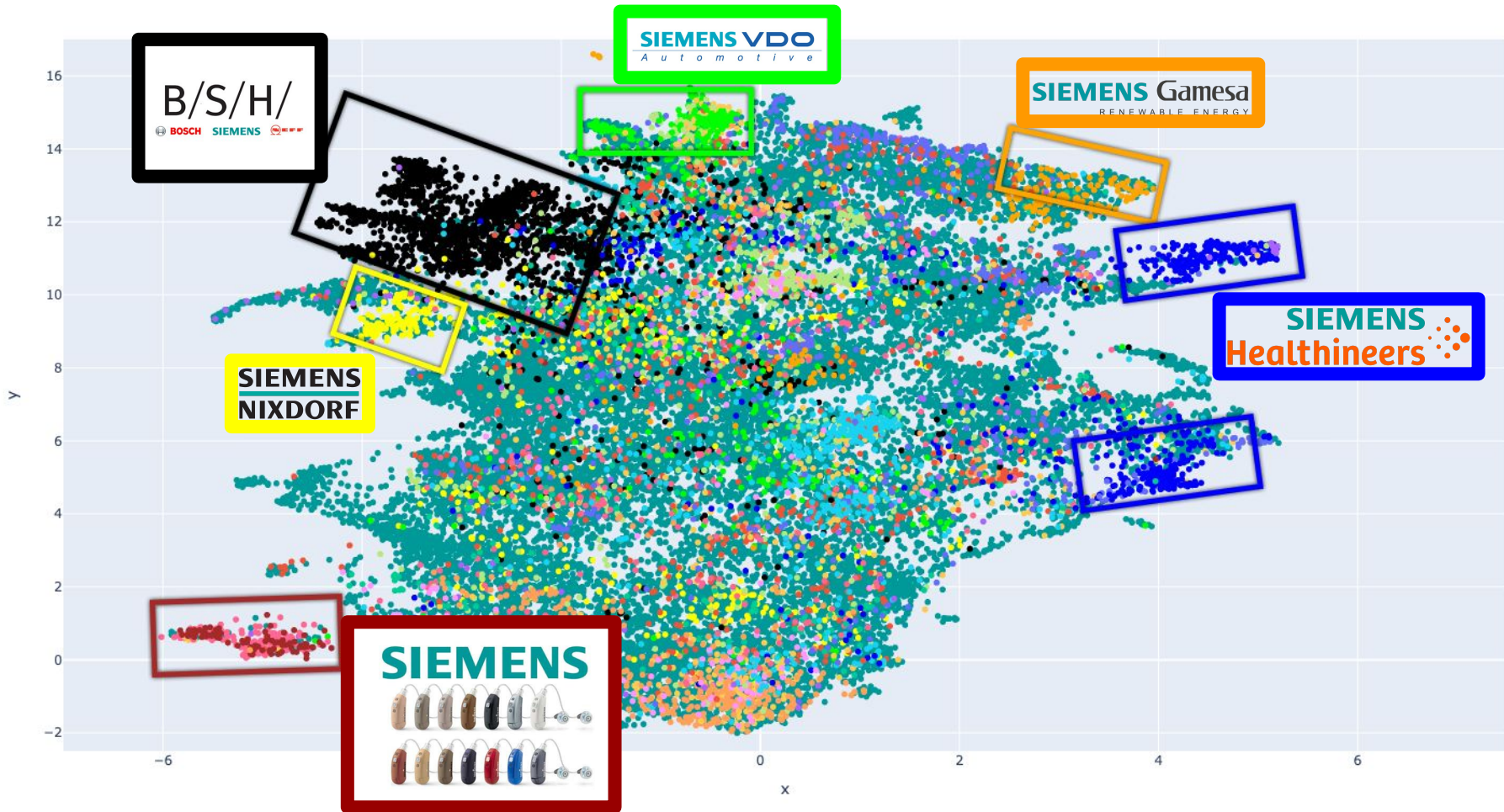
Objective:

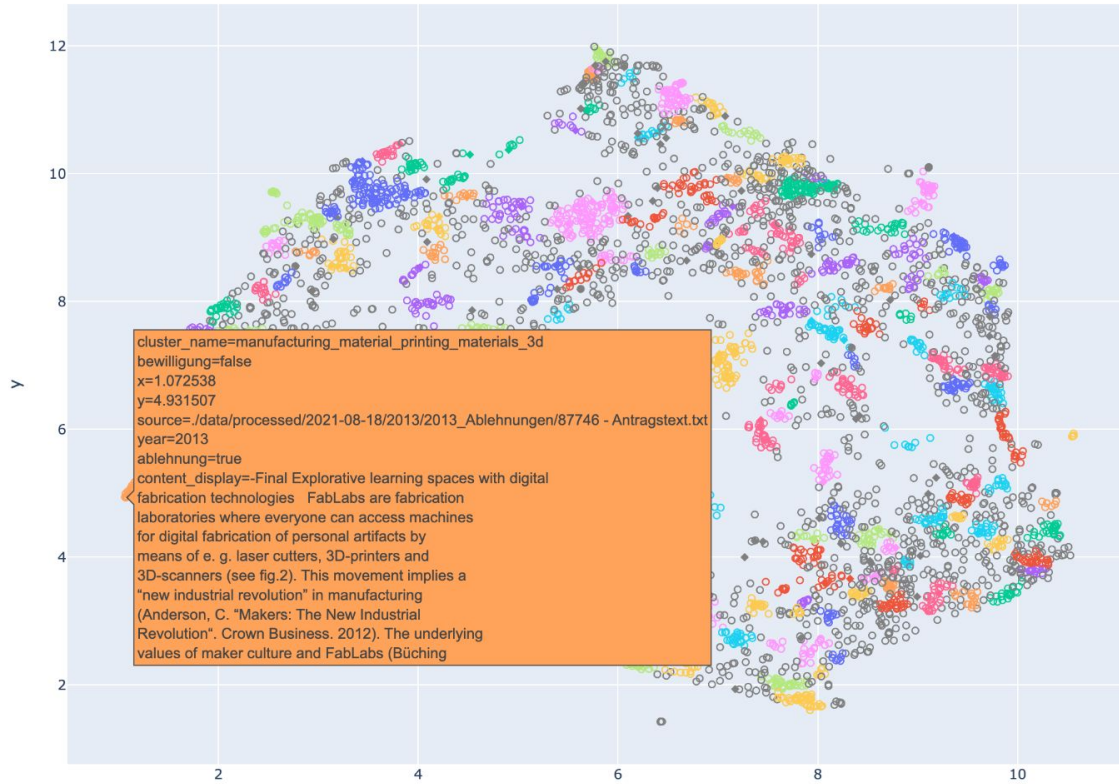
- Identify business units / subsidiaries of a large corporation company

Initial-Data:

- Patent documents where the name of the owner contains SIEMENS







cluster_name, bewilligung

- 18f_liver_pet_tissue_radon, False
- ◆ 18f_liver_pet_tissue_radon, True
- 19f_mri_silk_fusogenic_nps, False
- action_motor_visual_actions_expectations, False
- ad_alzheimer_pd_disease_biomarkers, False
- ad_aβ_amyloid_alzheimer_microglia, False
- ◆ ad_aβ_amyloid_alzheimer_microglia, True
- adipose_obesity_fat_msh_adipocytes, False
- algorithms_code_machine_learning_62, False
- ◆ algorithms_code_machine_learning_62, True
- algorithms_plc_hardware_market_verification, False
- ◆ algorithms_plc_hardware_market_verification, True
- als_neurodegenerative_neurons_disease_mns, False
- ◆ als_neurodegenerative_neurons_disease_mns, True
- altitude_exercise_resilience_variance_athletes, False
- amd_glaucoma_retina_cnv_vegf, False
- amino_acids_enantiomeric_prebiotic_twisted, False
- ◆ amino_acids_enantiomeric_prebiotic_twisted, True
- antibiotics_antibiotic_resistance_bacteria_resistant, False
- ◆ antibiotics_antibiotic_resistance_bacteria_resistant, True
- antifungal_odour_tb_tcm_fungal, False
- bee_venom_varroa_bees_mites, False
- ◆ bee_venom_varroa_bees_mites, True
- biofilm_antibiotic_infections_antimicrobial_bacterial, False
- ◆ biofilm_antibiotic_infections_antimicrobial_bacterial, True
- biomass_phosphorus_fish_nitrate_marine, False
- ◆ biomass_phosphorus_fish_nitrate_marine, True
- bone_cartilage_tissue_implant_silk, False
- ◆ bone_cartilage_tissue_implant_silk, True
- bone_osteoporosis_remodeling_ca_diets, False
- cancer_rhoa_p53_zinc_genes, False
- cancer_tumor_metastatic_metastasis_breast, False
- ◆ cancer_tumor_metastatic_metastasis_breast, True
- capable_jump_slap_neutrophils_hair, False



Demo

Automated Patent Landscaping

1 The initial data

pat_publ_nr
EP3883570
EP3528821
EP3053592
EP2697368
EP3668520
EP3558356
EP3374373
EP2931317
EP1385538
EP3590529

Number of rows: 100

	title	abstract	patpublnr	appln_id	pat_publ_id
21	DRUG DELIVERY DEVICE WITH UNIDIRECTIONAL COUPLING	A drug delivery device (1) is provided comprising a housing (4), a lead screw (22) that	EP3071267A1	423,979,757	468,378,857
22	METHOD OF DESIGNING A THERAPY FOR BREAST CANCER METASTASIS	The present invention relates to a method for the diagnosis or the prognosis of meta-	EP3091085A1	443,417,912	470,199,963
23	METHOD OF DESIGNING A THERAPY FOR BREAST CANCER METASTASIS	The present invention relates to a method for the diagnosis or the prognosis of meta-	EP3091085A1	443,417,912	470,199,963
24	INJECTION DEVICE FOR DELIVERY OF A LIQUID MEDICAMENT	The present invention relates to an injection device for dispensing of a liquid medica-	EP3093035A1	440,065,048	470,455,335
25	VACCINATION IN NEWBORNS AND INFANTS	The present invention relates to vaccines comprising at least one mRNA encoding at l	EP3115059A1	459,174,297	472,601,890
26	NUCLEIC ACID VACCINES	The invention relates to compositions and methods for the preparation, manufacture	EP3134131A1	445,674,113	474,487,486
27	DOSING ASSEMBLY FOR DRUG DELIVERY DEVICE WITH DIFFERENT LEADS AND MUL	The present invention is generally directed to an assembly for a drug delivery device	EP3204076A1	445,447,279	481,354,948
28	HEPATITIS B VIRUS (HBV) IRNA COMPOSITIONS AND METHODS OF USE THEREOF	The present invention relates to RNAi agents, e.g., double-stranded RNAi agents, targ	EP3218489A1	446,940,590	482,723,434
29	METHOD FOR ASSEMBLING A DRUG DELIVERY DEVICE AND DRUG DELIVERY DEVICE	The present invention relates to a method for assembling a drug delivery device com	EP3229863A1	447,513,522	483,791,591
30	SPACE SAVING DRUG INJECTION DEVICE	Drug injection device for setting and dispensing of a dose of a medicament, comprisii	EP3229869A1	447,514,038	483,792,570



Expanding

Number of Nearest Neighbors



	title	abstract	patpublnr	appln_id	pat_publn_id	embedding					
0	REPLICATION-DEFICIENT RNA VIRUSES AS VACCINES	The invention relates to a genome replication-deficient and transcription-competent	EP1851239A1	16,326,773	280,821,016	0.27944323	0.015171835	-0.0942924	-0.1233087	0.023071935	0.466
1	RNA Vaccines	The present invention relates to an RNA vaccine comprising an RNA molecule encodi	EP2042193A1	16,414,948	56,813,598	-0.0052395994	0.15540482	0.11364456	0.06387318	-0.44158688	0.1
2	Replication deficient RNA viruses as vaccines	Recombinant negative-strand RNA virus (I) comprises a viral genome with a mutation	EP2045260A1	56,550,722	56,868,117	0.2782432	-0.25261006	0.018860385	0.084892295	-0.06234601	0.16
3	RNA VACCINES	The present invention relates to an RNA vaccine comprising an RNA molecule encodi	EP2200646A1	56,880,784	323,151,949	-0.0052395994	0.15540482	0.11364456	0.06387318	-0.44158688	0.1
4	Medicament delivery device and actuation mechanism for a drug delivery device	Described is an actuation mechanism for a medicament delivery device (1) having a r	EP2572741A1	337,169,074	387,885,845	-0.54207766	-0.032860175	0.4037874	-0.28436056	-0.2393204	-0.10
5	PROCESS FOR EXTRACTING MATERIALS FROM BIOLOGICAL MATERIAL	The invention is directed to a process for extracting materials from biological materia	EP2575993A1	335,135,284	405,584,798	0.14556672	-0.06027105	0.5088816	-0.5394046	-0.35877275	0.1461
6	DELIVERY OF RNA TO DIFFERENT CELL TYPES	RNA encoding an immunogen is co-delivered to non-immune cells at the site of deliv	EP2591103A1	336,014,473	406,670,767	0.3776066	0.3541309	0.48900837	0.33111376	-0.56630045	-0.06870
7	DRUG DELIVERY DEVICE AND METHOD FOR A DRUG DELIVERY DEVICE	A drug delivery device (1) is provided comprising a container (4), a first product (13A)	EP2637717A1	339,248,559	409,609,409	-0.14265266	0.44449162	0.756927	-0.67057925	-0.58601433	-0.3564
8	VACCINATION IN NEWBORNS AND INFANTS	The present invention relates to vaccines comprising at least one mRNA encoding at l	EP2680880A1	352,023,027	413,102,011	0.29808828	-0.094516315	0.15551494	0.063691236	-0.15828641	-0.
9	JOINING TECHNOLOGY OF A DISPENSE INTERFACE	The present invention inter alia relates to an apparatus and a method. The apparatus	EP2701776A1	353,691,697	414,690,475	0.43260387	0.6038225	0.46442145	-0.34673253	-0.29445672	-0.091



Dimensionality Reduction

3D

Number of Neighbors



Minimum Distance



Metric

euclidean



Clustering

Minimum Cluster Size



Organizations



name_internat	website_address	country	count
Sanofi-Aventis Deutschland GmbH	https://www.sanofi-aventis.de	Germany	45
Sanofi	https://www.sanofi.com	France	23
ModernaX INC	https://www.modernax.com	United States of America	18
Glaxosmithkline Biologicals	https://www.gsk.com	Belgium	15
CureVac SE	https://www.curevac.com	Germany	12
BioNTech SE	https://www.biontech.de	Germany	12
Institutio Catalana de Recerca I Estudis Avancats	https://www.icrea.es	Spain	10
Fundacio Institut de Recerca Biomedica IRB Barcelona	https://www.irbbarcelona.org	Spain	10
Gemvax & Kael Co.,Ltd	https://www.gemvax.com	Republic of Korea	10
Novartis AG	https://www.novartis.com	Switzerland	10



Organizations

